# APPENDIX- 16

### APPLICATION FORM FOR GRANT OF EXPORT LICENCE FOR ITEMS MENTIONED AS RESTRICTED FOR EXPORTS IN SCHEDULE II OF ITC(HS) CLASSIFICATIONS OF EXPORT AND IMPORTS ITEMS

#### Note:

1. Please read the general instructions given at Appendix 1 before filling this application.

:

2. Please submit 5 copies of this application form.

#### FOR OFFICIAL USE

File No.:----- Date:-----

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- 1. Name and address of the applicant:
- 2. IEC Number
- 3. Purpose of Export (Trade/ Personal/Sample)
- 4. Relevant Para of the Policy : under which licence is sought
- 5. (i) Item of Export :
  - (ii) S.No under ITC(HS) Classification
  - (iii) Quantity applied for :
  - (iv) FOB value per unit :
  - (v) Total FOB value
  - 6 If the application is for export of seeds give : the following additional information:

:

(i) Details of seed/planting material proposed for export.

S.No.	Сгор	Variety	Qty. in Kgs/ Number of pieces	FOB price per unit.
[ _1]	[2]	[3]	[4]	[5]
L			L	L

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- (ii) Whether seed/ Planting material : proposed to be exported is of notified variety.
- (iii) Whether seed/planting material : proposed to be exported has been produced under custom production arrangement? If so, enclose details/ agreement entered into with buyer
- (iv) Whether the variety of seed/ : planting material proposed to be exported is used in India.
- (v) Places whether the variety : proposed to be exported is grown.
- (vi) Any other relevant information. :
- 7. Details of the exports of the item applied for in preceding three years (give information : in following form)

S.No.	Year	Licence no. and date	Qty. and value in US\$ of the licence	Qty. and value in US\$ of the item exported	Destination of export
[1]	[2]	[3]	[4]	[5]	[6]

- 8. Export order No. & Date : (Attach copy)
- 9. Name and address of the : buyer
- 10. Port of shipment
- 11. Destination of Export :

:

- 12. If application is for export : of samples/exhibits/gifts give the following details:
  - a) Ceiling Limit :
  - b) How much in excess of the :

ceiling limit.

- c) Justification for excess. :
- 13. If export is by Post, : Post Office where the parcel will be placed.

#### **DECLARATION/UNDERTAKING**

I/we hereby solemnly undertake/declare that:-

- (i) The particulars and the statements made in this application and annexures attached thereto are true to the best of my/our knowledge and belief and nothing has been concealed or withheld therefrom.
- (ii) Any licence granted on the basis of this application shall be liable to cancellation or being made ineffective without prejudice to any other action that may be taken in this behalf under the Foreign Trade (Development and Regulation) Act, 1992, or rules and orders made thereunder and the Customs Act, 1962, if any information furnished in this application is found to be wrong or incorrect or misleading.
- (iii) Any information furnished in the above statement, if proved incorrect or false, will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
- (iv) I/We further declare that no export proceeds are outstanding beyond the prescribed period as laid down by the RBI ,or such extended period for which RBI permission has been obtained.
- (v) I hereby certify that I am authorised to verify and sign this declaration as per Paragraph 9.9 of the Policy.

Place:	Signature of the Applicant
Date:	Name in Block Letters
	Designation
	Official Address
	Residential Address

E.Mail address -----

## APPENDIX-15A

APPLICATION FORM FOR GRANT OF EXPORT LICENCE FOR EXPORT OF SPECIAL CHEMICALS, ORGANISMS, MATERIALS, EQUIPMENTS AND TECHNOLOGIES (SCOMET) ITEMS MENTIONED AS RESTRICTED FOR EXPORT IN APPENDIX-3 SCHEDULE 2 OF ITC(HS) CLASSIFICATIONS OF EXPORT AND IMPORTS ITEMS Note: 1. Please read the general instructions given at Appendix 1 before filling this application.

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2. Please submit 5 copies of this application form.

#### FOR OFFICIAL USE

File No.:----- Date:-----

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#### PART-I

- 1. Name and address of the Applicant :
- 2. IEC Number

#### PART-II

(To be filled in for export of Special Chemicals in Categories 1B and 1C of Appendix-3)

- 3. (i) Item of export
  - (ii) Quantity applied for :
  - (iii) FOB value per unit :
  - (iv) Total FOB Value :
- 4. Details of the exports of category 1B and 1C Items in preceding three licensing years

	S.No	Year	Name of the item	no. and	value in US\$ of the	Qty & value in US\$ of the item exported	the end	
	[1]	[2]	[3]	[4]	[5]	[6]	[7]	[8]
1						[		
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- 5. If applied for export on : repeat basis in the same licensing year for same product and end-use and to the same end-user, then following information may also be furnished
  - (i) Reference number and : date vide which the earlier permission was granted by this office
  - (ii) Export licence number :

and date

- (iii) Quantity allowed for : export
- (iv) Quantity exported on : the date of this application
- 6. Export Order No. & date : (Attach copy)
- 7. Name and full address of the : buyer
- 8. Name and full address of the : end user

:

- 9. Port of shipment
- 10. Destination of export
  - (i) Port of Discharge :
  - (ii) Ultimate Destination :
- 11. End product for which the : item of export will be used by the end user (attach end user certificate)
- 12. The purpose for which the end : product will be utilised
- 13. If application is for export : of samples, furnish the following details:
  - (i) Ceiling limit
  - (ii) How much in excess of : the ceiling limit

:

(iii) Justification for excess :

#### PART-III

(To be filled in for export of all other Category items of Appendix-3 except those covered by Part-II above )

14. Category, item number and item name as : in Schedule 2 Appendix 3 of ITC (HS) Classifications of Export And Import items (Attach technical specification not exceeding one page for item, in the order in which these items have been listed)

- 15. (i) Quantity applied for :
  - (ii) FOB value per unit (US \$) :
  - (iii) Total FOB value (US \$) :
- 16. Importer's Purchase Order number : and date (Attach copy)
  - 17. Is the applicant the producer/ manufacturer of : the item to be exported? If not, name the producer/ manufacturer and provide full address, telephone No. etc.
  - 18. Details of Export Licences (EL) previously applied for items under Schedule 2 Appendix 3 of ITC(HS) (HS) Classifications of Export and Import items

S.No.	Date of application	No. & date of export licence (see Note below)	Items exported & Qty-Value	Date of shipment (attached B/L copy)	Destination Country
[1]	[2]	[3]	[4]	[5]	[6]

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\* State "denied", if the licence was denied. A false statement will invite prosecution.

- 19. Port of Shipment : (Delhi, Mumbai, Calcutta or Chennai -Identify only one Port)
- 20. Destination of Export (Country) :
- 21. Name of the consignee, complete : postal address; telephone, fax etc.
- 22. Citizenship of consignee (if : individual)
- 23. Headquarters address of consignee, : if corporate office different from entry at (10) above.
- 24. (a) Location (country) of end-user :
  - (b) End product and/or : end-purpose for which the exported item will be used by the end user. (Attach end-use certificate in the prescribed proforma)
  - (c) Name and complete address of : end-user (include telephone, fax Nos. etc.)

- (d) Is end-user an entity of : Government of destination country?
- (e) Manufacturing/Business/Other : activity of the end user.
- 25. Through which banks will the financial transactions relating to this export be executed?
  - (a) In destination country (provide full address)

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- (b) In India
- 26. Name and address of all foreign Collaborators of the applicant (as registered with GOI/RBI). If none, state None.
- 27. Period during which the item is proposed to be exported from India.

28. If revalidation required

(a) Period of extension sought(b) Reasons therefor

#### **DECLARATION/ UNDERTAKING**

I/We solemnly declare/undertake that:-

- (i) The particulars and the statements made in this application and attachments thereto are true to the best of my/our knowledge and belief, and nothing has been concealed or withheld therefrom.
- (ii) If any information furnished in this application is found to be false, inaccurate, not current or misleading, any licence granted on the basis of this application shall be liable to cancellation or being made ineffective without prejudice to any other action that may be taken in this behalf under the Foreign Trade (Development and Regulation) Act, 1992, or rules and orders made thereunder, and the Customs Act, 1962.
- (iii) Any information furnished in this application if proved incorrect, false, inaccurate, not current or misleading will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
  - (iv) I/We further declare that no export proceeds are Outstanding beyond the prescribed period as laid down by the RBI, or such extended period for which RBI permission has been obtained.
  - (v) I hereby certify that I am authorised to certify and Sign this declaration as per Paragraph 9.9 of the Policy.
  - (vi) I/We further undertake to submit to the DGFT (PC-III Section) a copy of the Bill of Entry into the destination State Party within 30 days of delivery failing which I/We shall be liable to action under the Foreign Trade (Development and Regulation) Act 1992, or Rules and Orders made thereunder and the Customs Acts, 1962.

Date :	Signature of the applicant		
	Name in block letters		
	Designation		
	Official Address		
	Residential		
	Address		

Note: Each page of this application should be signed individually by the Managing Director, or the Company Secretary or a Director of the firm. If signed by a Director of the firm, then that Director must be duly authorised to do so by the Board of Directors of the firm.

This Certificate is to be furnished by the exporters of Categories 1B & 1C items of Appendix-3

#### END USE CERTIFICATE

#### (To be furnished with reference to S. No.11 of this Export Licence Application)

We, (name of the end user), certify that we are importing	ng M.T. of	from
(name and address of exporter) for the manufacture of	(state specific use)	

We further certify that we will not use the above mentioned chemical for any purpose other than stated as above nor transfer or re-export the item to any other party/country for any other purpose.

Date:

Name:

Signature of end-user with Stamp and Seal

**Designation:** 

Note: The exporter shall furnish a copy of confirmed export order indicating number and date to the customs and DGFT at the time of actual shipment.

# This Certificate is to be furnished by the exporters of all other category items (except categories 1B & 1C) of <u>Appendix-3</u>

#### END USE/END USER CERTIFICATE

[Read instructions at foot of page]

1.	I/We	a/ hereby certify that we		
	are the end-user of		b/ to be	
	imported from		c/ against our	
	Purchase Order No.	dated	for the	
	manufacture of/used for		d/.	

OR

The undersigned,	hereby declares th	at I am duly authorised to
certify that	-	a/
are the end-users	of the items detail	ed in their Purchase
Order No.	dated	and are importing the
said items from		c/ for the manufacture
of/used for	d/.	

- 2. I/We further certify that the items detailed in the referenced Purchase Order will not be used for any purpose other than the purpose(s) stated in the Purchase Order, and that such use will not be changed nor the items modified or replicated without the prior consent e/ of the Government of India.
- 3. The end-user shall not himself, or through another, cause the items, or replicas, or derivatives thereof to be re-transferred/sold without the knowledge/consent e/ of the Government of India, to any party within \_\_\_\_\_ f/ or outside it.
- 4. I/We also certify that all the facts contained in this certificate are true and correct to the best of my knowledge and belief and that I/we do not know of any additional facts that are inconsistent with this certificate.

Place:

Date :

Signature of end-user/authorised signatory of end-user with stamp and seal

Name : \_\_\_\_\_

Designation : \_\_\_\_\_

Instructions:

- a/ Name of end-user as at entry 24(c) of this Export Licence Application.
- b/ Items entries as at Sl. No. 14 of this Application.
- c/ Name and address of exporter in India.
- d/ Name of the end product/end use.
- e/ DGFT will state the condition in export licence. Do not strike out.
- f/ Destination country.