

APPENDIX - 12

FORMAT OF PROJECT AUTHORITY CERTIFICATE

NOTE: PLEASE SEE PARAGRAPH 4.1.1 OF THE POLICY AND PARAGRAPH 4.4, 8.3 to 8.8 OF THIS HANDBOOK

I (Name and Designation) am
duly authorised to issue the Project Authority Certificate. I
hereby certify that M/s.
have been awarded a contract for supply of goods of value,
quantity and description mentioned below for total value of
Rs..... (in words)
against purchase order No. dated
.....

It is certified :-

- (a) That supplies under contract No..... Dated..... are to be made in India to a project financed by multilateral or bilateral Agencies/ Funds namely which has been notified by the Department of Economic Affairs, Ministry of Finance vide their Reference No..... Dated and the same is under the procedure of International Competitive Bidding in accordance with the procedure of the above mentioned Agency/Fund, legal agreement of which provides for tender evaluation without including the customs duty and that the import content of the order is Rs.(figure and words)
- (b) That supplies under the contract are to be made to a project namely, which has been notified by the Department of Economic Affairs, Ministry of Finance vide their Reference No. Dated and which permits the imports at zero customs duty, but if procured domestically, then the benefit of Deemed Exports has been prescribed and that the import content of the order is Rs. (figure and words.....)
- (c) That the supplies under the contracts are to be made to unit in the EPZ/EOU/EHTP/STP/SEZ and the contract is according to the Policy laid down in Chapter-4 of the EXIM Policy, 2002-07 by the receiving agency in terms of Paragraph 8.6.1 of the Handbook (Vol.1) 2002-07 .
- (d) That supply of the Capital Goods under the contract to be made to fertilizer plants in India is under the procedure of International competitive bidding in accordance with the Provisions of Paragraph 8.6.4 of the Handbook (Vol.1) 2002-07 and that the import content of the order is Rs.(figure and words
- (e) That supply of the goods under the contract to be made to power and refineries in India is under the procedure of international competitive bidding in accordance with the provisions of paragraph 8.6.5 and 8.6.6 of the Handbook (Vol.1) 2002-07 and that the import content of the order is Rs.(figures and words.....)
- (f) That supply of the goods to UN organisations under the procedure of International Competitive Bidding in accordance with the provisions of paragraph 8.6.7 of the Handbook (Vol.1) 2002-07 and that the import content of the order is Rs.(Figures and words
- (g) That supply of goods to specified nuclear power projects under the procedure of Competitive Bidding is made in accordance with the provisions of paragraph 8.6.8 of Handbook (Vol.1), 2002-07 and the import content of the order is Rs. (Figures and words

(h) That supply of goods required in connection with petroleum operations undertaken under petroleum exploration licences or mining leases is made in accordance with the provisions of paragraph 8.6.5 of the Handbook (Vol.1) and the import content of the order is Rs. (Figures and words).

2.* It is further certified that the contract No Dated..... in respect of (Name of the project) has been awarded to M/s..... as the Indian/ Foreign main contractor and M/s..... are the sub-contractor, whose name is also included in the main contract. The description, quantity of the goods as described below to be supplied to us directly by the sub-contractor or to be supplied by the sub contractor to the main contractor is shown in the main contract and is in accordance with the relevant Policy/Procedure applicable to such contracts. It is further certified that the payment in respect of the goods to be supplied by the sub-contractor will be made directly by us/ main contractor in Indian Rupees.

- Relevant only for contract at Paragraph 1(a), (b),(d),(e) and (f) for which Annexure-I to Appendix-12 be furnished.

PARTICULARS OF SUPPLIES TO BE MADE

a) By the main contractor

S.No.	Description of item to be supplied	Quantity
(1)	(2)	(3)

b) By the sub-contractor

S.No.	Description of item to be supplied	Quantity
(1)	(2)	(3)

3. It is also certified that no other similar certificate to any other party has been granted for the same supplies detailed above, under the same contract referred to above.

Signature :
Name and Designation :
Name of the project :
Place: Seal.
Date:

Note:

- (1) Delete whichever is not applicable.
- (2) Each Project Authority Certificate should bear a serial number.
- (3) This certificate is to be signed by the Chief Executive of the project concerned or by a senior officer specifically authorised by him for this purpose whose name, designation are circulated to the Port Licensing Authority concerned. The responsibility for sending timely advice of changes in the names of the nominated officers will solely rest with the project authority concerned.
- (4) In the case of supplies to be made by a sub-contractor whose name is included in the main contract, his name should also compulsorily be indicated in para 2 with details of supplies to be made by him, alongwith the name of the main contractor.
- (5) In the case of supply to EOU/EPZ/EHTP/STP/SEZ unit, the Certificate shall be signed by the authorised signatory of the unit.
- (6) In case of supplies under Lease financing agreement, the Project Authority certificate shall be jointly signed by the Project Authority and Lease financing.

CERTIFICATE BY THE MAIN CONTRACTOR TO THE SUB CONTRACTOR

It is certified that the contract No. dated in respect of (Name of the project) has been awarded to M/s as the Indian/Foreign main contractor and M/s as the sub-contractor, whose name is certified in the main contract. The description and quantity of the goods as described below to be supplied directly by the sub-contractor to the project authority or to be supplied by the sub-contractor to the main contractor is shown in the main contract and is in accordance with the relevant Policy/procedure applicable to such contracts. It is further certified that the payment in respect of the goods to be supplied by the sub-contractor will be made directly by the Project Authority/ us.

PARTICULARS OF SUPPLIES TO BE MADE

(a) By the main contractor

S.No.	Description of item(s) to be supplied	Quantity	Value	CIF Content

(b) By the sub-contractor

S.No.	Description of item(s) to be supplied	Quantity	Value	CIF Content

Signature: _____

Name of Authorised Signatory: _____

Designation: _____

Name of Main Contractor: _____

Date: _____

Place: _____

APPENDIX - 12A

FORMAT OF CERTIFICATE OF PAYMENTS ISSUED BY THE PROJECT AUTHORITY

NOTE: PLEASE SEE PARAGRAPH 8.6.3 AND 8.7 OF THIS HANDBOOK.

FORM I-A

(CERTIFICATE OF PAYMENT TO BE ISSUED BY THE PROJECT AUTHORITY FOR SUPPLIES MADE TO CATEGORIES (d), (e), (f) and (g)(i) and (j) OF PARAGRAPH 8 OF THE POLICY)

Certified that the goods of quantity and value as described below and in Invoice No.....Dated have been supplied to us on date) against purchase order No.....dated and we have paid to the suppliers, namely, M/s..... the sum of Rs.(figures and words.....) on (date) being per cent of the value of the goods/ equipments/ capital goods supplied as per terms of the contract No..... dated..... entered into with the suppliers in accordance with the conditions applicable to :

- *(i) Supply of goods to projects financed by multilateral or bilateral Agencies/ Funds as notified by the Department of Economic Affairs, Ministry of Finance vide their Reference No. dated, under international competitive bidding or under limited tender system in accordance with the procedures of those agencies/ Funds, where the legal agreements provide for tender evaluation without including the customs duty; and
- *(ii) Supply of capital goods to fertiliser plants if the supply is made under the procedure of international competitive bidding;
- *(iii) Supply of goods to any project or purpose in respect of which the Ministry of Finance vide their Notification No..... dated, permits the import of such goods at zero customs duty coupled with the extension of benefits under Deemed Exports for domestic supplies.
- *(iv) Supply of goods to such projects in the Power under International competitive bidding and refineries under international competitive bidding in respect of which the Ministry of Finance, extends the benefits of deemed exports to domestic supplies.

- (v) Supply of goods to UN organisations under International competitive bidding in respect of which the Ministry of Finance extends the benefits of deemed export to domestic supplies.
- (vi) Supply of goods to specified nuclear power projects under the procedure of competitive bidding in which the Ministry of Finance extends the benefits of deemed exports to domestic supplies.
- (vii) Supply of goods to petroleum operations under specified contracts including those under new exploration licensing Policy in which the Ministry of Finance extends the benefits of deemed exports to domestic supplies.

It is also certified that the supplies have been accepted by us at the site at the price stated in the invoice.

*(use whichever is applicable)

Description, Quantity and Value of goods supplied.

.....
.....

Signature :

Name :

Designation :

Name of the Project :

Place: :

Date :

Note: 1. This certificate should be signed by the Chief Executive Incharge of the Project concerned or by a senior officer specially authorised by him for this purpose.

2. In case of supplies to Fertilizer Projects, this certificate should be signed by such officer/ authorities as may be notified from time to time by the Department of Fertilizers.

FORM I-B

(CERTIFICATE OF PAYMENT TO BE ISSUED TO THE SUB-CONTRACTOR
WHOSE NAME APPEARS IN THE MAIN CONTRACT IN RESPECT OF
CATEGORIES (d),(e)(f),(g) (i)& (j) of PARA 8.2 OF THE POLICY)

1. Certified that M/s is an Indian sub-contractor to M/s (Main Contractor). The contract of the main contractor has been accepted by us vide No. date The name of the sub-contractor has been included in the main contract itself and the description, quantity and value of the goods which has now been supplied to us or to the main contractor, has already been indicated in the main contract. These supplies conforms to the specifications laid down in the main contract
2. It is also certified that the goods/equipment of quantity and value as described below and in invoice No. dated have been supplied to us by the above mentioned sub-contractor on (date) against purchase order No. dated and we have paid to the subcontractor, namely, M/s. the sum of Rs.(figures and words) on(date) being percent of the value of the goods/equipments/capital goods supplied as per terms of the contract No. dated entered into with the suppliers in accordance with the conditions applicable to:
 - *(i) Supply of goods to projects financed by multilateral or bilateral Agencies/ Funds as notified by the Department of Economic Affairs, Ministry of Finance vide their Reference No. dated , under international competitive bidding or under limited tender system in accordance with the procedures of those agencies/ Funds, where the legal agreements provide for tender evaluation without including the customs duty; and
 - *(ii) Supply of capital goods to fertiliser plants if the supply is made under the procedure of international competitive bidding;
 - *(iii) Supply of goods to any project or purpose in respect of which the Ministry of Finance vide their Notification No. dated , permits the import of such goods at zero customs duty coupled with the extension of benefits under Deemed Exports for domestic supplies.
 - *(iv) Supply of goods to such projects in the Power under International competitive bidding and refineries under international competitive bidding or limited global tender in respect of which the Ministry of Finance, extends the benefits of deemed exports to domestic supplies.
 - *(v) Supply of goods to UN Organisations under International Competitive Bidding or Limited Global Tender in respect of which the Ministry of Finance extends the

benefits of deemed export to domestic supplies.

- *(vi) Supply of goods to nuclear power projects under competitive bidding.
- *(vii) Supply of goods to petroleum operations including those under new exploration licensing Policy.

* (use whichever is applicable)

3. It is further certified that the supplies have been made in terms of the contract No. date entered into with the suppliers and the supplies have been accepted by us at site at the price stated in the invoice. We are satisfied that the supplies have been made at international prices.

OR

** it is further certified that the supplies have been made in terms of the contract secured against international competitive bidding in the project being undertaken by us and which has been (a) approved by the Department of Fertilizers vide their Reference No. _____ dated _____; or (b) notified vide Department of Economic Affairs, Ministry of Finance Reference No _____ dated _____ and the supplies have been accepted by us at site at the price stated in the invoice.

Signature

Name

Designation

Name of the
Project/Agency

Place

Date

Description, Quantity and Value of Goods supplied.

.....
.....

Signature

Name

Designation

Name of the
Project/Agency

Note:-

(1) Note 1 below Form I-A is equally applicable in this case.

FORM 1-C

Certificate of payment to be issued by the main contractor for supplies made by sub contractor whose name appear in the main contract to categories (d) (e) (f) & (g) (i) & (j) of paragraph 8.2 of the Policy.

Certified that the goods of quantity and value as described below and in invoice No. _____ dated _____ have been supplied to us on date _____ against purchase order No. _____ dated _____ and we have paid to the sub-contractor namely M/s _____ the sum of rupees (figure and words) _____ on _____ (date) being _____ percent of the value of the goods/components/equipment/capital goods supplied as per terms of the contract No. _____ dated _____ entered into with the sub contractor.

It is also certified that we, the main contractor have supplied such goods/ components/ equipments / capital goods supplied by the sub contractor to the project authority against contract no. _____ dated _____ and have received payment on _____ (date) against invoice No. _____ dated _____.

S.NO.	DESCRIPTION OF GOODS	Qty	SUB-CONTRACTOR			
			INVOICE NO.	DATE	VALUE	DATE OF PAYMENT

S.NO.	DESCRIPTION OF GOODS	Qty	CONTRACTOR			
			INVOICE NO.	DATE	VALUE	DATE OF PAYMENT

It is also certified that we have accepted the supplies at the site at the price stated in the invoice.

Signature :

Name :

Date:

Designation :

Place:

Name of the main contractor :

FORM II

(UNDERTAKING TO BE GIVEN BY THE APPLICANT)

We,M/s..... undertake in respect of our application datedagainst..... (description of goods) supplied to (name of buyer), that :-

- (1) If at any future date we are required to refund any amount to the buyer,namely on account of non-satisfactory performance of the equipment during the guarantee period or on account of replacement of defective parts as per contractual agreement we shall send an intimation to the licensing authority giving full particulars within one month of the date of such refund.
- (2) We shall refund to the licensing authority proportionate amount in respect of the amount refunded to the Project authority by us.

Signature

Name (In Block Letters).....

Designation

Place:

Date:

FORM III

(DECLARATION)

We hereby declare and certify that :-

- (a) particulars stated in the application dated are correct;
- (b) the goods as mentioned in application have been supplied to in terms of the contracts secured by us.
- (c) the payment against these supplies have been received; and
- (d) supplies have been made at the prices indicated in Contract No..... dated

Signature :

Name (In Block Letters) :

Designation :

Name of the Applicant Firm :

Place:

Date:

APPENDIX --12B

APPLICATION FORM FOR CLAIMING DUTY DRAWBACK ON ALL INDUSTRY RATES/ FIXATION OF DRAWBACK RATES/REFUND OF TERMINAL EXCISE DUTY.

Note:

1. Please see Paragraph 8.3 of the Policy and Paragraph 8.8 of this Handbook.
2. Please read the general instructions given at Appendix 1 also before filling this application.

FOR OFFICIAL USE

File No.:----- Date:-----

PART-A

1. Name of the applicant firm :
2. Name & Address of the factory where the product supplied is being manufactured (alongwith Central Excise Licence No., if any) :
3. Name of the firm/Co./ Project authority to whom supplies have been made or product supplied. :
4. Description, quality and the technical characteristics of the product supplied. :
5. a) FOB & FOR value of the export in the preceding three years (yearwise) :
- b) Value of the total production of the product for the preceding three years (yearwise). :
6. a) Sub-serial number of the drawback schedule under which the product supplied is classified. :
- b) If All Industry rate of Drawback is available, Serial No. and rate of DBK. :
7. Net weight of the product per unit, where unit is other than by weights. :
8. Current F.O.R. Price of the product supplied. :
9. The Central Excise Tariff. Chapter/ Heading in which classified and rate of Central Excise duty payable on the supplied product. :
10. State the mode of supplies :

a) Whether under Central Excise Bond :

b) After payment of duty under claim of rebate :
of Central Excise Duty.

c) Otherwise. :

(Strike out whichever is inapplicable)

11. Whether in respect of any of raw materials/components the benefits under Rules 12A,12(1)(b) & 13 (1)(b) or any other Central Excise Rules is being availed of, if so the details thereof in respect of each input/raw material.

12. Whether in respect of any of the raw material/ components MODVAT benefits under Rule 57A of the Central Excise Rule is being availed of? if so the details thereof in respect of each input/ raw material. Whether any declaration has been filed in terms of Rule 57(g) (1) of Central Excise Rules for availing MODVAT benefits under Rule 57A If so details of inputs for which such benefit claimed(Furnish details of credit in relevant DBK-IIA/III A statements attached).

13. Whether any other benefit under any of the Customs and/or Central Excise Notification is being availed of in respect of the raw materials components and other inputs used in the product supplied.

14. Whether in respect of the imported material: :

a) The benefits of duty exemption Scheme is being availed of. If so, the details of the same (viz: Advance Licence/DEPB/DFRC Number & date or application number and date for Advance Licence and the inputs for which DEEC/DEPB/ DFRC facility is being claimed. A photocopy of the Advance Licence/DEPB/DFRC to be attached/ forwarded) (b) manufacture under bond procedure in terms of Section 65 of Customs Act, 1962 is being followed; if so, the details thereof.

b) If yes, then did you declare this DBK claim in Advance Licence for deemed exports application also.

PART-B

For fixation of Duty Drawback rates under Rule 6(1)(a) or Rule 7(1) of Drawback (Strike out whichever is not relevant).

17. (a) The drawback rate (or amount) expected :
(Enclose working sheet in support thereof).
- (b) In case the application is for fixation of special brand rate under Rule 7(i) of the Drawback Rules, is the rate indicated in S.No.5 less than four-fifth of the rate indicated in Col.16(a) above.
- (c) In case the application is for fixing of Brand Rate under Rule 6 of the Drawback Rules, is the rate worked out as at 16(a) above more than 1% of FOR Value, if so the percentage of Drawback Rate Expected to FOR value.

18. (a) Is the FOR value with regard to each item in the Supply Invoice less than the value of all imported materials used in the manufacturing of such goods ?
- (b) If not, what is the percentage of value addition against each entry in the supply Invoice.

19. Brief Process of manufacture, (Enclose :
Catalogue/literature, etc. of the items of Export.

PART-C

(For Refund of Terminal Excise Duty)

20. The Central Excise tariff Chapter/ Heading in which classified & rate of Central Excise Duty payable on the product supplied.
21. Central Excise Invoice and corresponding RT – 12 :
showing amount of Terminal Excise Duty paid or self certified Invoice showing the amount of Terminal Excise Duty paid

DECLARATION/UNDERTAKING

1. I/We hereby declare that the particulars given above are correct to the best of my/our knowledge and belief and that no separate application in respect of the same goods has been submitted so far.
2. I/We full understand that any information furnished in the application if proved incorrect or false will render me/us liable for any penal action or other consequences as may be prescribed in law or otherwise warranted.
3. I/We undertake to abide by the provisions of the Foreign Trade(Development and Regulation) Act, 1992, the Rules and Orders framed thereunder, the Export and Import Policy and the Handbook of Procedures.
4. I/We also declare that I/We shall intimate any change (including receipt of suo-motto refunds) in the particulars as mentioned in the proforma and statements submitted within one month from the date of such change and I/We agree to any consequential change in the Drawback Rates with effect from the date of changed Drawback Rates are allowed and shall on demand by an Officer of DGFT/D.C. repay the amounts received in excess.
5. I/we further declare that I/We shall immediately refund the amount of drawback obtained by us in excess of any amount/rate which may be re-determined by Government as a result of post verification.
6. I/We further declare that no export proceeds are outstanding beyond the prescribed period as laid down by the RBI ,or such extended period for which RBI permission has been obtained.
7. I hereby certify that I am authorised to verify and sign this declaration as per Paragraph 9.9 of the Policy.

Signature of the Applicant: _____

Name : _____

Designation : _____

Official Address: _____

Tele.No.: _____

Place: Residential Address: _____
Dae: _____

E.Mail address _____

Documents to be enclosed with the application form:

A. FOR REFUND OF TERMINAL EXCISE DUTY/DUTY DRAWBACK:

- i) Application in Appendix 12B.
- ii) Supply invoices certified by Project Authority or self certified or excise attested invoices. In case of 100% EOU/ EPZ/STP/EHTP units, a complete AR-3A/ supply invoice certified by the bond officer is to be furnished.
- iii) Payment certificate issued by the Project Authority as per Appendix 12A. For supply to EOU/EPZ units the certificate to be given regarding receipt of payment through normal banking channel in the form given in Appendix- 22A. However, in respect of supplies under paragraph 8.2 (d),(e),(f) (g) and (j), wherever eligible for refund of TED, if the applicant is not able to furnish payment certificate, he may alternatively furnish the copies of the excise invoices showing the receipt of the material by the Project Authority duly countersigned by the authorised person for this purpose as given in note (3)of Appendix-12, in lieu of payment certificate. In such cases, the applicant shall furnish the copies of the excise invoices showing the receipt of the material by the Project authority duly countersigned by the authorised person for this purpose as given in note (3) of Appendix-12 in lieu of payment certificate.
- iv) Photocopy of complete A.R.O./Back to Back LC/DEEC (in case of Advance Licence for deemed Export)
- v) Photocopy of the purchase order/L.O.I/contract (self certified).
- vi) Original input stage Invoices with corresponding RT-12 duly certified by Excise authorities or self certified invoice showing the Terminal Excise Duty paid.
- vii) In respect of supplies under paragraph 8.2(d), (e) (f) (g) and (j), the applicant shall furnish a copy of the project authority certificate as given in Appendix-12.

ADDITIONAL DOCUMENTS REQUIRED WHEREVER BRAND RATE OF DUTY DRAWBACK IS BEING CLAIMED

- i). DBK I duly certified by a Chartered Engineer.
- ii). DBK II, IIA, III & III-A, duly certified by a Chartered Accountant alongwith non-availment of MODVAT Certificate from the jurisdictional Excise Authorities.
- iii). Photocopies of Bill of Entries alongwith relevant Import Invoices.
- iv). Original input invoices with corresponding RT-12 duly certified Central excise authorities or self-certified invoices showing payments of excise duty in support of the details shown in DBK-III and DBK-III A.

Note: In case of public sector undertaking, the DBK statements may be signed/ certified by the Head of Production and Head of Finance department.

STATEMENT - DBK-I

Description of the Export Product supplied _____ Bill of Materials* issued for manufacture of (No.of units of the export product).

*(Bill of materials should consist of raw materials and components going into the manufacture of export product supplied and the actual packing materials used).

S. No.	Name of the material/ component	Quality	Technical characteristic s	Whether imported or indigenous	Unit	Gross qty. required	<u>Wastage Qty</u>		
1	2	3	4	5	6	7	8	9	
							Irrevo recover Cable able		

Sale Price of waste per unit of Qty	By Product/ co product	Net wt of the material	Remarks
10	Qty.	Sale value per unit	14

Give convenient units by which goods are invoiced for export (e.g. per tonne, per dozen/Pcs., per Sq. meter etc).

Notes:-

1. The Units of quantity to be furnished in Col.6 should be given in such a manner that it could be related to Statements II and III respectively.
2. Maintenance stores/materials such as lubricating oil, greases, fuel etc. which are employed to run the machinery and plant should be excluded.
3. The data for packing materials should be for the same unit quantity for which data for export product for raw materials and components have been given.
4. Only those raw materials/components etc. to be indicated for which proof of payment of Customs/Central Excise duties is shown in DBK-II/III. Details of such inputs need not be given where no benefit of duty paid is claimed because of MODVAT or absence of proof of duty. Only a brief mention of such inputs being used would be sufficient.

CERTIFICATE REQUIRED FOR DBK-I STATEMENT

1. On behalf of the applicant, I hereby certify that the materials as mentioned above are actually required and being used for production of export product.

Station _____ Signature _____
(Name & Designation of the Chief
Dated _____ Executive/Production incharge
(with seal.)

II. It is certified that (To be given by an independent Chartered Engineer):

- a) The consumption of various materials shown in DBK-I has been examined by us and these are actually required and being consumed in the factory of production for manufacture of export product supplied as checked by us on verification of the production process and relevant technical and related documents.
- b) The imported materials above shown in DBK-I are being actually used in the manufacture of the export product supplied and are not being substituted by indigenous materials;
- c) The wastage /co-product/by-product claimed are as per production process in the factory. There is no suppression of co-product/by-products. The wastages claimed in our views are reasonable and are comparable to the general norms for the industry. Where wastages are considered high, an indication of the normal wastage in the industry has been indicated by us, under 'Remarks' column.

Signature _____

Name _____

Designation _____

Address _____

Place _____ Branch of Engg.

Date _____ in which qualified: _____

Name & Address of the institution : _____
under which Chartered Ref. No. and date _____
of membership. _____

STATEMENT - DBK - II

Direct import of materials/components made by the manufacturer and foreign materials obtained locally by the manufacturer during the period commencing three months prior to the date of supply /first supply upto the date of application, for manufacture of _____ (Name of export product supplied).

S.No.	Description	Techni-cal charac-terist-ics	S.No. in DBK 1 statement	B/E No. & date under which imported	Name of the Customs House	Unit	Qty. imported originally	Assessable value
1	2	3	4	5	6	7	8	9

Heading No. in Customs Tariff Act, 1975	Rate of duty	Country from which imported and name of supplier	Is assessment final	Basic duty + Additional Customs Duty	Name and full address of the supplier in case the foreign material/ Components obtained locally	remarks
10	11	12	13	14	15	16

Notes:

1. If any of the materials mentioned above have also been procured from indigenous origin, this must be specifically stated in remarks column and full details of the procurement alongwith proof of payment of duty should be furnished in DBK-III statement, even if it is claimed that they are not used in the products exported.
2. Minor items which do not contribute to any significant proportion to the expected drawback rates may be ignored, at the discretion of the applicant.
3. If the assessment against any B/E is not final the nature of dispute may be clearly indicated supported by appropriate letter from concerned customs authorities. Normally no DBK is admitted for provisionally assessed B/Es.
4. Refund application made against any B/E, with details must be indicated.
5. Stock position of the above materials/Components also to be given separately (in linked statement II-A).

CERTIFICATE

Certified that the particulars mentioned in this statement are correct to the best of my knowledge and belief and no claims for refund of duty in respect of any of the above mentioned bills of entries (other than whose details are furnished) has been or will be lodged with the customs Authorities.

Signature and Stamp of independent
Chartered Accountant/Cost Accountant

Signature _____
(Name & Designation of the Chief Executive/
Production incharge (with seal.)

STATEMENT - DBK - IIA

Details of procurements relating to stock of imported materials as on commencement *date (*the date three months prior to the date of supply /first supply required for the manufacture of _____ (Name of export product supplied).

S.No.	Description	Techni-cal Characteristi cs	S.No. in DBK I statement	B/E No. and date coveringthe imported stock	Name of the Customs House	Unit	Qty. imported originally	Assessa-ble value
1	2	3	4	5	6	6A	7	8

Heading no. in Customs tariff Act, 1975	Rate of duty	Country from where imported & name of supplier	Is assesment final	Basic duty + Addl customs duty	Name and full address of the supplier in case the foreign materials/ components obtained locally	Stock as on —	Remark	
9	10	11	12	13	13A	14	15	16

Note:-

1. In this statement furnish details of stock of all the imported inputs mentioned in statements II which were in stock 3 months prior to the date of shipment/first shipment of the export product and how these were imported/procured. (Actual stock to be given under Col.15, with procurement details in other Columns).
2. If the assessment for any of the inputs in stock as shown is not final, the nature and current status of dispute may be clearly indicated. (Normally no DBK for provisionally assessed B/E are admitted).
3. Refund applications made if any for procurement shown in stock with details to be indicated.
4. Photocopies of all Bills of Entries mentioned above must be enclosed.

CERTIFICATE

Certified that the particulars mentioned in this statement are correct to the best of my knowledge and belief and no claims for refund of duty in respect of any of the above mentioned bills of entries has been or will be lodged with the Customs Authorities.

Signature and Stamp of independent

Signature
Chartered Accountant/Cost Accountant

STATEMENT - DBK - III

Materials/Components of Indian Origin obtained by the manufacturer during the period commencing three months prior to the date of supply /first supply upto the date of application for the manufacture of _____ (Name of export product supplied).

Sl.N o.	Description	Technical Characteristics	S.No. in DBK-I statement	Unit	Qty purchased	Assessable value	Central excise tariff Heading no.
1	2	3	4	5	6	7	8
Effective rate of duty paid	Amount of duty paid	Name and address of supplier		Invoice No. and date	Is assessment on duty final	Remark	
9	10	11	12	13	14		

Notes:-

1. In this statement details of only those items which are chargeable to the excise duty to be given for which proof of Central Excise duty can be established by Invoices.
2. Materials/Components specified in Drawback II Statement if these are also of indigenous origin and procured locally should be included in this statement, whether dutiable or not. This is irrespective of the fact whether the said materials/components are used for export production or not. Where the said materials/components are claimed to be only for manufacture of goods for local sales and not for exports, this should be specifically indicated in the 'Remarks' column, against the respective serial number of the said material/component.
3. The particulars of Invoice numbers and date where the applicant is the consignee should be furnished under col.11. Photocopies of all Invoices for inputs which are subject to Central Excise Duties of 20% or higher and some representative copies for other Invoices must be enclosed.
4. If the assessment which is not final or duty is paid under protest the extent of dispute may please be clearly indicated (copy of S V B Order to be attached).
5. Refund applications made if any against any Invoice with Details, to be indicated.

CERTIFICATE

Certified that the particulars mentioned in this statement are correct to the best of my knowledge and belief and no claims for refund of duty in respect of any of the above mentioned materials/components procured against Invoices/ subsidiary Invoices has been or will be lodged with the Central Excise Authorities.

Chartered Accountant/Cost Accountant
Signature and Stamp of independent

Signature

(with seal.)

(Name & Designation of the
Chief Executive/ Production
Incharge

STATEMENT - DBK - III(A)

Details of procurements relating to stocks of indigenous materials as on commencement to (the date three months prior to the date of supply/first supply)

S. No.	Description	Technical Characteristics	S.No. in DBK-I statement	Unit	Qty purchased originally	Assessable value	Central Excise Tariff heading no.
1	2	3	4	4A	5	6	7

Effective rate of interest	Amount of duty paid	Name and address of supplier	Invoice No. and date	Is assessment of duty final	Stocks as on _____	Remark
8	9	10	11	12	13	14

Notes:-

1. In this statement furnish details of stock of all the indigenous materials mentioned in statements I & III which were in stock three months prior to date of shipment/first shipment of the export product and how these were procured (including Invoices Nos.etc.).
- 1A. In this statement details of only those items which are chargeable to the Excise Duty may be given for which proof of payment of Central Excise duty can be established.
2. The particular of Invoice number, date etc. should be furnished in Column.11.
3. If the assessment which is not final or duty is paid under protest the extent of dispute may please be clearly indicated.
4. Refund applications made if any with details to be indicated.

CERTIFICATE

Certified that the particulars mentioned in this statement are correct to the best of my knowledge and belief and no claims for refund of duty in respect of any of the above mentioned materials/components has been or will be lodged with the Central Excise Authorities.

Station: _____ Signature and Stamp of independent/ _____ Signature _____

Date _____ Chartered Accountant/ _____ (Name & Designation of the Chief
Cost Accountant _____ Executive/ Production Incharge

(with seal)